**Declaration of Interest for Academia Sinica**

**(For Non-Governmental Organization Case )**

Revised According to Order No: 1090511343 issued Dec. 21 2020

This form is enacted according to Paragraph 2, Article 4 of the *Management Guidelines on Conflicts of Interest* of Academia Sinica.

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| Academia Sinica provides this form to investigators, technicians, and other investigators who have disclosure obligations according to **Articles 5, 6, 7, 9 and 11 of the *Management Guidelines on Conflicts of Interest*** (hereinafter referred to as ***Guidelines***), which stipulate the disclosure requirements of the above mentioned personnel when receiving subsidies from the non-governmental organization. All investigator who are conducting research regarding non-governmental organization funding cases are required to disclose his/her significant financial interests to the **Conflicts of Interest Committee** (hereinafter referred as COIC). The COIC shall determine whether conflicts exist and decide measures to manage, reduce or eliminate such conflicts. | | |
| **Part 1. Information regarding the Proposal** | | |
| **Type of the proposal to be disclosed:**  □Non-Governmental Donors of Gifts for Research (according to Article 5 of the ***Guidelines***).  □Non-Governmental Sponsors of Grants for Research (according to Article 6 of the ***Guidelines***).  □Non-Governmental Sponsors of Contracts for Research (according to Article 7 of the ***Guidelines***)  □Non-Governmental Sponsors of Contracts for Transfers, Assignments and Licenses of Technology or Materials (according to Article 9 of the ***Guidelines***)  □Temporary transfers of business enterprises (according to Article 11 of the ***Guidelines***)  □Others: | | |
| **Name:** | **Academic Unit or Department:** | **Position/Title:** |
| **Name of the Research Project/ Technology/ Material/ Donors of Gifts:** | | |
| **Docket Number (Please leave blank if not assigned with a number.):** | | |
| **Funding Entity:** | | |
| **Part 2. Information regarding Significant Financial Interest:** | | |
| 1. **Did you, your spouse, or minor children receive financial interests from the concerning Entity equal to or greater than NT$150,000 within the last year prior to this disclosure?**   □No  □Yes, if “yes”, please explain below: | | |
| Remark :   1. “Spouse” in this column includes a same sex partner who is duly registered according to the Act for Implementation of J.Y. Interpretation No. 748. 2. “Financial Interests” refers to any interest including: chattel, real-estate, cash, deposits, foreign exchange, securities with value, loans, rights with pecuniary values, interests with economic values, or that are acquirable through money transactions. Rights of shares shall be reported in the next section. 3. Financial interests managed by the inventor, spouse, or minor children of the researcher shall be regarded and calculated as financial interests in amalgamation with all of the financial interests provided by the discloser in this form. 4. Royalty payments generated from licensing contracts approved by Academia Sinica, and fees for Principal Investigators or stipends from contracts for research approved by Academia Sinica, shall not be considered as Finacial Interests. However, income or consulting fees from part-time jobs approved by Academia Sinica shall be included. | | |
| 1. **Have you, your spouse, or minor children had any shares of the concerning entity within the last year prior to this disclosure?**   □No.(Includes possession of less than 5% of shares in the said company which have been listed or are over-the-counter)  □Yes;  □If the shares you hold belong to non-listed and not over-the-counter companies, please explain below.  □If the shares you hold belong to listed or over-the-counter companies, and the percentage exceeds 5% of the total shares of each company, please explain below. | | |
| Remark :   1. Please disclose shares of listed or over-the-counter companies if the percentage exceeds 5% of the total shares of each company, and also any shares held for non-listed or non-over-the-counter companies. 2. Shares possessed or managed by the discloser’s spouse, or minor children shall be regarded as financial interests and aggregately disclosed in this form. | | |
| **3.Do you, your spouse, your children, or your parents, grandparents, grandchildren, or siblings hold any positions of responsible person(s), director(s), supervisor(s) or manager(s) in the concerning entity?**  □No  □Yes; if “Yes”, please explain the position: | | |
| Remark:  Discloser can attempt to acquire the company’s information from the website of the Ministry of Economic Affairs using the website provided below. However, information that cannot be acquired from the website shall also be disclosed.  https://findbiz.nat.gov.tw/fts/query/QueryBar/queryInit.do?request\_locale=en | | |
| **4.Besides significant financial interests mentioned above, is there any additional information or details that will be related to any conflicts of interest in this matter?**  □No  □Yes; if “Yes”, please explain below: | | |
| **5.Have you received any inquiry from the concerning entity to act as an advisor, technical consultant, or other position which is not as an executive or direct, paid employee of the concerning entity?**  □No,  □Yes; if “Yes”, please explain below: | | |
| **Part 3, Statement:** | | |
| If the COIC considers the facts disclosed to affect the execution, review and monitoring of the research or technical transfer, the discloser shall:   1. comply with the opinion of the COIC and follow its advice. 2. comply with the conditions or restrictions suggested by the COIC in order to manage, reduce or eliminate any existing or possible conflicts of interest. 3. understand that according to the ***Guidelines***, investigators and technicians who violate this ***Guidelines*** shall be referred to the **Divisional Ethics Review Committee** with which the violator is affiliated. 4. promise to abide by all relevant criminal and administrative laws and rules. | | |
| I have used all reasonable diligence in reading the ***Guidelines*** and I affirm that all the information provided above is correct and consistent as to the best of my knowledge. If the information I provide is false, I agree to accept full responsibility. If I or my relatives discover any other conflicts of interest that require additional declarations after the making of this declaration, such conflicts of interest shall be disclosed in the next annual declaration. | | |
| **Address to:**  **Conflicts of Interest Committee of Academia Sinica** | | |
| **Date of Disclosure: (Month)/ (Day)/ (Year) (Required)** | | |
| **Signature: (Required)** | | |