**Declaration of Interest for Academia Sinica**

**(Private sector technology transfer or personnel secondment cases)**

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| Academia Sinica provides this form to a person(s) who have the obligations of making a disclosure, according to Articles 9 and 11 of the Management Guidelines on Conflicts of Interest (hereinafter referred to as **Guidelines**), which stipulate the disclosure requirements of the above mentioned personnel to disclose his/her significant financial interests in a for-profit enterprise or non-governmental organization to the Conflicts of Interest Committee (hereinafter referred as **COIC**). The COIC shall determine whether conflicts exist and decide measures to manage, mitigate or eliminate such conflicts. | | |
| **Part 1. Filer 's information** | | |
| **Name:** | **Unit/Department:** | **Position:** |
| **TEL：** | **E-Mail：** | |
| **Part 2. Research Project information** | | |
| **Type of Project:**  □ Transfer, assignment, or license of technology or materials (Article 9 of the Guidelines)  □ Personnel Secondment to a for-profit organization (Article 11 of the Guidelines) | | |
| **Name of the Technology / Materials: (Not applicable for Personnel Secondment )** | | |
| **Docket Number ：(Not applicable for Personnel Secondment )** | | |
| **Part 3. Information regarding Significant Financial Interest:** | | |
| **1.The for-profit or non-governmental organization(s) related to this disclosure are hereinafter referred to as “Entity”.** | | |
| **2. Have you, your spouse or cohabiting partner, or minor children, obtained or expected to receive an amount greater than NT$150,000 in financial interests from the Entity within one year prior to this disclosure?**  □ No  □ Yes; please explain below: | | |
| Remark：   1. “Spouse”includes a same sex partner who has been registered for marriage. 2. “Financial Interests” refers to any interest including: chattel, real-estate, cash, deposits, foreign exchange, securities with value, loans, rights with pecuniary values, interests with economic values, or that are acquirable through money transactions. 3. Financial interests of “Investment or Rights of shares” shall be reported in the next section. 4. Financial interests managed by the trustee, the frontman in a name-borrowing contract in which you are a silent owner, or your agent, spouse or cohabiting partner, or minor children, shall be aggregately calculated with all of the corresponding financial interests. 5. For donations made by Academia Sinica, such as those designated for use by you, the laboratory that you preside over, or your specific research project in progress, etc., it should be aggregately calculated with all of the financial interests. 6. Royalty payments generated from licensing contracts approved by Academia Sinica, and fees for Principal Investigators or stipends from contracts for research approved by Academia Sinica, shall not be considered as Financial Interests. However, income or consulting fees from part-time jobs approved by Academia Sinica shall be aggregatel**y** calculated with all of the financial interests. | | |
| **3. Have you, your spouse or cohabiting partner, or minor children had invested or held the shares of the Entity within the last year prior to this disclosure?** **(Please refer to the point 1 of the Remark below).**  □ No. (Please refer to the point 2 of the Remark below).  □ Yes, have invested or held shares  □ Investment, and the amount of investment in aggregation exceeds NT$150,000. Please explain below:  □ The shares are of the non-listed or not over-the-counter companies. Please explain below:  □ The shares are of the listed or over-the-counter companies, and the market value exceeds NT$150,000.  Please explain below. | | |
| Remark：   1. The “Investments” referred to in this question indicated those investments with financial interests to the disclosed Entity without holding rights of shares of the disclosed Entity. Stock options or interests in other forms are included. 2. In the following two situations, please check “No”. 3. No investment or holding of shares in the disclosed Entity. 4. Investment in the disclosed entity, but the amount of investment does not exceed NT$150,000. 5. Have invested or held the shares of the disclosed Entity, but the shares are of listed or over-the-counter company, and the total market value does not exceed NT$150,000. 6. For the interests of you, your spouse or cohabiting partner, or children (minors), the shares invested or held by the trustee, the frontman in a name-borrowing contract in which you are a silent owner, or your agent, shall be **aggregately** calculated with all of the financial interests. | | |
| **4. Are you, your spouse or cohabiting partner, minor children, parents, grandparents, grandchildren, or siblings, serving as the person(s) in charge, director(s), supervisor(s) or manager(s) of the Entity?**  □ No  □ Yes; please explain below. | | |
| Remark:  Any information in relation to an Entity, if required, maybe obtained from the website of the Ministry of Economic Affairs using the website provided below. However, information that cannot be acquired from the website shall also be disclosed.  <https://findbiz.nat.gov.tw/fts/query/QueryBar/queryInit.do> | | |
| **Part 4. Statement** | | |
| If the COIC considers the facts disclosed to affect the execution, review and monitoring of the research or technical transfer, a person(s) making a disclosure shall:   1. comply with the opinion of the COIC and follow its advice. 2. comply with the conditions or restrictions suggested by the COIC in order to manage, reduce or eliminate any existing or possible conflicts of interest. 3. understand that according to the Guidelines, a person(s) making a disclosure, investigators and technicians who violate this Guidelines shall be referred to the Divisional Ethics Review Committee with which the violator is affiliated. 4. promise to abide by all relevant criminal and administrative laws and rules.   I have used all reasonable diligence in reading the Guidelines and I confirm that all the information provided above is correct and consistent as to the best of my knowledge. If the information provided is found to be false, I accept full responsibility. If I discover any other conflicts of interest that requires additional declaration after the making of this declaration, such conflicts of interest shall be disclosed to the COIC immediately. | | |
| **Address to:**  **Conflicts of Interest Committee of Academia Sinica** | | |
| **Date of Disclosure: 　　　(month)/ 　　　(Day)/　　　 (Year) (Required)** | | |
| **Signature: (Required)** | | |